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March 24, 2006

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Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Application No. 10/719,058; Filed: November 24, 2003

Methods, Systems, and Computer Program Products for Parallel

Correlation and Applications Thereof

Inventors:

RAWLINS et al.

Our Ref:

1744.1380000

Sir:

Transmitted herewith for appropriate action are the following documents:

- Third Supplemental Information Disclosure Statement;
- Four (4) sheets of Form PTO-1449 listing thirty-nine (39) documents; 2.
- One (1) copy each of documents AJ24-AM24, AJ25-AL25, AN60-AR60, and AN61-AO61; and
- 4. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

GERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Patrick E. Garrett

Attorney for Applicants Registration No. 39,987

PEG/mlb Enclosures

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

RAWLINS et al.

Appl. No.: 10/719,058

Filed: November 24, 2003

For: Methods, Systems, and Computer Program Products for Parallel Correlation and Applications

Thereof

Confirmation No.: 9124

Art Unit: 2193

Examiner: Chaki, Kakali

Atty. Docket: 1744.1380000

Third Supplemental Information Disclosure Statement

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Third Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Second Supplemental Information Disclosure Statement filed on October 25, 2005 in connection with the above-captioned application.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

Applicants provide the following comments regarding the documents:

Document AJ24 was cited in a search report in related European Patent Application No. 04020115.4, filed October 6, 2000, entitled "Down Converter Using Subsampling," directed to related subject matter. Also cited in said search report were U.S. Patent Nos. 5,454,007; 5,705,949; and 5,883,548, PCT Publication Nos. WO 94/05087 and WO 98/40968, German Patent Nos. DE 35 41 031 A and DE 197 35 798 C, and Fest, Jean-Pierre, "Le Convertisseur A/N Revolutionne Le Recepteur Radio," Electronique, JMJ (Publisher), No. 54, pp. 40-42 (December 1995), which have already been cited in the present application.

Documents AK24 and AE71 were cited in an Office Action in related European Patent Application No. 01977965.1, filed May 16, 2001, entitled "Apparatus, System, and Method for Down converting and Up converting Electromagnetic Signals," directed to related subject matter. Also cited in said search report were U.S. Patent Nos. 5,564,097 and 5,640,698; PCT Publication No. WO 96/02977; and Rudell, J.C. *et al.*, "A 1.9-Ghz Wide-Band IF Double Conversion CMOS Receiver for Cordless Telephone Applications," IEEE Journal of Solid-State Circuits, IEEE, Vol. 32, No. 12, pp. 2071-2088 (December 1997), which have already been cited in the present application.

Documents AL24, AM24, AJ25-AL25, and AF71 were cited in an Office Action in related Israel Patent Application No. 142,699, filed October 18, 1999, entitled "Method and System for Frequency Up-Conversion,", directed to related subject matter. Also cited in said Office Action was European Patent Publication No. EP 0 548 542, which has already been cited in the present application.

Documents AN60 and AD71 were cited in an Office Action in related U.S. Patent Application No. 10/197,253, filed July 18, 2002, entitled "Networking Methods and Systems," directed to related subject matter.

Documents AE69-AI69 were cited in an Office Action in related U.S. Patent Application No. 10/197,255, filed July 18, 2002, entitled "Networking Methods and Systems," directed to related subject matter. Also cited in said Office Action was U.S. Patent No. 6,850,742, which has already been cited in the present application.

Documents AA70, AA71, and AG71-AI71 are co-owned patents which are directed to related subject matter.

Documents AB70 and AC70 were cited in an Office Action in related U.S. Patent Application No. 09/569,045, filed May 10, 2000, entitled "Methods And Apparatuses Relating To A Universal Platform Module And Enabled By Universal Frequency Translation Technology," directed to related subject matter. Also cited in said Office Action was U.S. Patent No. 5,844,868, which has already been cited in the present application.

Documents AD70-AI70 were cited in a Notice of Allowance in related U.S. Patent Application No. 10/961,272, filed October 12, 2004, entitled "Apparatus, System, And Method For Down-Converting And Up-Converting Electromagnetic Signals," directed to related subject matter. Also cited in said Office Action was U.S. Patent No. 6,853,690, which has already been cited in the present application.

Documents AB71 and AC71 were cited in an Office Action in related U.S. Patent Application No. 09/550,644, filed April 14, 2000, entitled "Method And System For Down-

Converting An Electromagnetic Signal, Transforms For Same, And Aperture Relationships," directed to related subject matter.

Documents AA72 and AB72 were cited in an Office Action in related U.S. Patent Application No. 10/394,069, filed March 24, 2003, entitled "Method and Circuit for Down-Converting a Signal," directed to related subject matter. Also cited in said Office Action was U.S. Patent No. 6,836,650, which has already been cited in the present application.

This statement should not be construed as a representation that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below:

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this
 Information Disclosure Statement was cited in a communication from a foreign
 patent office in a counterpart application and this communication was not received
 by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to
 the filing of this information disclosure statement.
- 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final

Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in

the application. a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1). b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2). c. Attached is our PTO-2038 Credit Card Payment Form in the amount of _____ in payment of the fee under 37 C.F.R. § 1.17(p). 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Enclosed find our PTO-2038 Credit Card Payment Form in the amount of \$_____ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:

- a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- □ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance.

 1138 OG 37, 38.

Document AK24 (DE 1936252) appears to describe a modulator or demodulator in integrated technology. An English language translation of document AK24 is enclosed as document AO60.

Document AL24 (JP 62-12381) appears to describe a pulse-width modulation control system of an inverter. An English language abstract of document AL24 is enclosed as document AP60.

Document AM24 (JP 4-154227) appears to describe a relay monitoring device for broadcasting radio waves. An English language abstract of document AM24 is enclosed as document AQ60.

Document AJ25 (JP 6-284038) appears to describe a transceiver. An English language abstract of document AJ25 is enclosed as document AR60.

Document AK25 (JP 10-96778) appears to describe a distance measuring device. An English language abstract of document AK25 is enclosed as document AN61.

Document AL25 (JP 11-98205) appears to describe a demodulator for digital radio communication. An English language abstract of document AL25 is enclosed as document AO61.

∑ 7. Copies of documents AJ24-AM24, AJ25-AL25, AN60-AR60, AN61, and AO61 are enclosed. However, in accordance with 37 C.F.R. § 1.98(a)(2), copies of the U.S. patents and patent publications cited on the attached Form PTO-1449 are not enclosed.

8.	Copies of the documents were cited by or submitted to the Office in an IDS that
	complies with 37 C.F.R. § 1.98(a)-(c) in Application No, filed
	, which is relied upon for an earlier filing date under 35 U.S.C. § 120.
	Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

∑ 9. It is expected that the examiner will review the prosecution and cited art in the parent application no. 09/987,193, filed November 13, 2001 (now U.S. Patent No. 7,010,559), in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed Form PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Patrick E. Garrett Attorney for Applicants Registration No. 39,987

Date:

3/24/06

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FORM PTO-1449

THIRD SUPPLEMENTAL

ATTY. DOCKET NO. 1744.1380000 FIRST NAMED INVENTOR

APPLICATION NO. 10/719,058

Page 1 of 4

Gregory S. RAWLINS

INFO		DISCLOSURE STATEM	AENIT		gory S. RAWLINS					
INFORMATION DISCLOSURE STATEMENT					NG DATE ember 24, 2003	ART (2193	ART UNIT			
			U.S. PAT		DOCUMENTS	12100				
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE		AME	CLASS	SS SUB-CLASS	FILING DATE		
	AA									
	AB							-		
	AC									
	AD									
	AE69	5,703,584	12/1997	н	ill					
	AF69	5,887,001	03/1999	R	ussell					
	AG69	6,298,065 B1	10/2001	D	ombkowski <i>et al.</i>					
	AH69	2002/0080728 A1	06/2002	s	ugar <i>et al</i> .					
	Al69	2002/0163921 A1	11/2002	E	thridge <i>et al.</i>					
	_1	<u> </u>	FOREIGN P	ATE	NT DOCUMENTS	1	<u>.</u>			
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB-CLASS	TRANSLATION		
	AJ24	WO 98/53556 A2	11/1998		PCT			N/A		
-	AK24	DT 1936252	01/1971		DT		, -	Yes (Doc. AO60)		
	AL24	JP 62-12381	01/1987		JP			No		
	AM24	JP 4-154227	05/1992		JP			No		
		OTHER DOCUMENTS	S (Including	ı Aut	hor. Title. Date. Perti	inent Pages.	etc.)	·		
EXAMINER INITIAL	DOC. REF.	CITATION								
	AN60	Gaudiosi, J., "Retailers Volume 23, Issue 36, p			oft's Xbox with games an ember 2-8, 2001).	id peripherals,"	Video Store I	Magazine,		
	AO60	English-language Translation of German Patent Publication No. DT 1936252, translation provided by Transperfect Translations, 12 pages (January 28, 1971 - Date of publication of application).								
	AP60	English-language Abstract of Japanese Patent Publication No. JP 62-12381, data supplied by the espacenet, 1 page (January 21, 1987 - Date of publication of application).								
	AQ60	English-language Abstract of Japanese Patent Publication No. JP 4-154227, data supplied by the espacenet, 1 page (May 27, 1992 - Date of publication of application).								
	AR60	English-language Abstr 1 page (October 7, 199			atent Publication No. JP ion of application).	6-284038, data	supplied by t	he espacenet,		
EXAMINER		I				DATE CO	NSIDERED	· -		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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FORM PTO-1449				ATTY. DOCKET NO. APPLICATION NO. 1744.1380000 10/719,058						
	THIR	D SUPPLEMENTAL			ST NAMED INVENTO	R				
INFORMATION DISCLOSURE STATEMENT					Gregory S. RAWLINS FILING DATE November 24, 2003 ART UNIT 2193					
			U.S. PAT		DOCUMENTS		2,00			
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	N	AME	CI	LASS	SUB-CLASS	FILING DATE	
	AA70	6,963,734 B2	11/2005	s	orrells <i>et al</i> .					
	AB70	6,005,506	12/1999	В	azarjani <i>et al.</i>					
	AC70	6,438,366 B1	08/2002	Li	ndfors et al.					
	AD70	5,196,806	03/1993	lo	hihara					
	AE70	5,410,195	04/1995	Ic	hihara					
	AF70	6,404,823 B1	06/2002	G	range et al.					
	AG70	6,618,579 B1	09/2003	s	mith <i>et al</i> .					
	AH70	2001/0015673 A1	08/2001	Υ	amashita et al.					
	A170	2003/0045263 A1	03/2003	V	/akayama <i>et al</i> .					
			FOREIGN P	ATE	NT DOCUMENTS					
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE		COUNTRY	CL	LASS	SUB-CLASS	TRANSLATION	
	AJ25	JP 6-284038	10/1994		JP				No	
	AK25	JP 10-96778	04/1998		JP				No	
	AL25	JP 11-98205	04/1999		JP				No	
	AM								Yes No	
57414755		OTHER DOCUMENTS	S (Including	y Aut	hor, Title, Date, Pe	rtinent Pa	ges,	etc.)		
EXAMINER INITIAL	DOC. REF.	CITATION								
	AN61	English-language Abstra 1 page (April 14, 1998 -				IP 10-96778	i, data	supplied by the	he espacenet,	
	AO61	English-language Abstra 1 page (April 9, 1999 - [act of Japane Date of public	ese Pa	itent Publication No. J of application).	IP 11-98205	i, data	supplied by t	he espacenet,	
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FORM PTO-1449					ATTY. DOCKET NO. APPLICATION I 1744.1380000 10/719,058				•	
THIRD SUPPLEMENTAL				FIRST NAMED INVENTOR						
INFORMATION DISCLOSURE STATEMENT					Gregory S. RAWLINS FILING DATE ART UNIT					
			U.S. PAT		DOCUMENTS		2193			
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	N/	AME		CLASS	SUB-CLASS	FILING DATE	
	AA71	6,975,848 B2	12/2005	R	awlins <i>et al</i> .					
	AB71	6,404,758 B1	06/2002	w	ang/					
	AC71	6,909,739 B1	06/2005	E	erola <i>et al</i> .					
	AD71	6,910,015 B2	06/2005	Ka	awai					
	AE71	3,719,903	03/1973	G	oodson					
	AF71	4,591,930	05/1986	Ва	aumeister		_			
	AG71	7,006,805 B1	02/2006	Sc	Sorrells et al.					
	AH71	7,010,286 B2	03/2006	So	orrells et al.					
	AI71	7,010,559 B2	03/2006		awlins <i>et al</i> .			•		
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INITIAL	REF.	DOCUMENT NUMBER	DATE	,	COUNTRY		CLASS	SUB-CLASS	TRANSLATION Yes	
	AJ								No Yes	
	AK								No Yes	
	AL								No Yes	
	AM								No	
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FORM PTO-1449				ATTY. DOCKET NO. 1744.1380000		ICATION NO 9,058	•
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INFORMATION DISCLOSURE STATEMENT			Gregory S. RAWLINS FILING DATE		ART UNIT		
			US PAT	November 24, 2003 ENT DOCUMENTS	2193		
EXAMINER	DOC.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
INITIAL	REF.	5,131,014	07/1992	White			
	AB72	6,393,070 B1	05/2002	Reber			
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	AJ						No Yes
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	AL						No
	AM						Yes No
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